

SAMPLE MEDIA RESULTS

ThinkAdvisor

Industry Spotlight > Women in Wealth

How Can Advisors Empower Female Clients?

by Jeff Berman

Despite the strides that women and minorities have made, they continue to be underrepresented in the financial services sector and all too many women are still not involved in making important financial decisions, female advisors from several U.S. firms said Thursday.

They were speaking during the JConnelly Virtual Media Roundtable "Spotlight on Women in Financial Services - Accelerating the Industry Forward & Empowering the Client."

InvestmentNews

NEWS

What financial services is learning from 2020 hindsight

June 17, 2020 By Jeff Benjamin

Extreme market and economic uncertainty calls for creativity, especially when it comes to measuring and monitoring investor risk tolerance levels.

Forbes

30% Unemployed Is Both 'Sensationalism' And A Warning To Prepare For The Worst

Matthew Rappata, Senior Contributor

Forbes about business and investing in emerging markets.

Doctors test hospital staff with flu-like symptoms for the new SARS coronavirus in set-up tents.

Unemployment of 30%? That would be worse than the Great Depression.

When St. Louis Fed chief James Bullard told Bloomberg yesterday that this was a possibility over the next few months, people in the market — most of whom are now working from home because of the ongoing pandemic — hung the Fed in defeat. What is he talking about?

Can it really get that bad?

Much of the U.S. is shut down. As of this week, states that comprise 66% of U.S. GDP have announced quarantine measures. No bars. No restaurants. No schools. No work. No travel. No social activities. No social media. No news. No nothing.

FAMILY WEALTH REPORT

North American Family Office Intelligence

Mid-Year Pandemic Portfolio Review: What Managers Tell Clients

Charles Paikert, New York, July 28, 2020

In the middle of a pandemic-induced crisis year unmatched in a century, how are wealth managers reviewing portfolios with clients at mid-year? What do asset allocation and strategies look like?

Major factors stemming from the global spread of the COVID-19 virus are playing an unprecedented role when it comes to making assessments about where wealthy clients should invest their money, according to chief investment officers and equity strategists surveyed by Family Wealth Report.

Investors "may need to brace for disappointment" as a result of "the lack of guidance" from public companies on the road ahead, said Wilmington Trust CIO Tony Jack. "The economy is changing so quickly that the second quarter [earnings] are arguably irrelevant."

Indeed, weekly unemployment claims rose for the first time since March, the Department of Labor reported last week (July 23), indicating that the US job recovery may be faltering.

A "sharper" economic rebound never materialized and unemployment in the US is currently over 10 per cent, accounting for over 20 million jobless workers — more than the labor market endured in the wake of the 2008-2009 financial crisis.

Wealth Management.com

CORONAVIRUS

Recent stock market highs bracing themselves for one presidential election.

If you're like most investors, the outcome of this year's race will impact your money.

The vast majority of investment race will affect the stock market from Hartford Funds, an analyst they expect that will impact.

INVESTMENT > ETFs

ESG Funds Shine During Pandemic

'I think what's actually emerging here is ESG has more semblance of being the new quality factor.'

Lawrence Carrel | May 28, 2020

CNBC

PERSONAL FINANCE

Trump vs Biden: How the election outcome may influence your stock investments

Published 9:46 AM EDT 11/23/2020 12:21 PM

Lois Kuehler, Contributor

Forbes

Volatility Will Hasten M&A In Financial Advisory Industry

Lawrence Carrel, Contributor

Forbes Financial Services

The market's volatility over the last five months has made many financial advisors re-evaluate the future of their firms. Many advisors realized that their firm's value could fall to nothing, and this will accelerate the pace of mergers and acquisitions in the industry, said a panel of advisory industry leaders that met last week.

FINANCIAL ADVISOR

Ed Slott Sees Higher Taxes, Slow-Rising Inflation On The Way

FEBRUARY 23, 2021 • KAREN SEMASTERS

Retirement plan investors should position their portfolios to pay taxes sooner, rather than later, according to Ed Slott, founder of IRAhelp.com.

And one strategy to do this is by converting traditional IRAs to Roth IRAs. Slott said Tuesday during a roundtable discussion on how the next round of federal stimulus money will affect the economy. The roundtable was sponsored by public relations firm JConnelly.

Doing an IRA conversion or using other strategies that let investors pay taxes on their saving now are good moves because taxes will have to increase to pay for the massive stimulus packages that have been passed and the one now being debated in Congress, said Slott. He is the author of "The New Retirement Savings Time Bomb."

BARRON'S

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FINANCIAL PLANNING TOP INDEPENDENTS

The Most Nimble Advisories Will Thrive in the Post-Covid Era

By Steve Garmhausen

Sept. 11, 2020 8:23 pm ET

The wealth management industry had already been changing rapidly. But this year, the rate of change kicked into overdrive.

The pandemic and its economic aftershocks have spurred consolidation. Small advisor teams that might have remained independent are being pushed to modernize or sell. Covid-19 made the adoption of technology—such as videoconferencing and digital account sign-up—an immediate priority. If you didn't have good technology, wealth managers realized, you were in for a rough ride.

Then Black Lives Matter protests erupted, increasing the pressure on advisors to take a hard look at the lack of diversity in their industry, at their firms, and among the clients they serve. As new independent firms form and grow, they hold the potential to dramatically change the demographics of both the industry and its clients. Driving change, after all, is what the index does.

M&A Activity Among RIAs Is Primed To Zoom
AUGUST 12, 2020 • KAREN DEMASTERS

The pandemic has pushed advisors who were thinking of buying a firm to get off the fence, and mergers and acquisitions—which are growing in number—will jump dramatically in the future, according to advisory industry leaders.

"The coronavirus negatively affected the financial industry briefly, but we've had a rapid recovery," said Peter Mallouk, president of Creative Planning, an independent wealth management firm and RIA based in Overland Park, Kan.

"It made advisors realize that if they were considering exiting the industry in the next few years, they need to think about doing it now," he said. On the other side, buyers are back, and we will see more activity in the next few months."

He added that he was surprised to see a lot of activity in the industry. "For firms that were not there," he said.

Mallouk was part of a panel discussion on **DEALS & PEOPLE** August 14, 2020, hosted by JConnelly, a public relations firm.

RIA M&As Show No Signs of Slowing

Industry executives also noted that strong companies are being acquired, and the pandemic raises broader questions about the industry's future.

By Lee Barney

When the coronavirus pandemic first came on the scene in the United States in March, registered investment advisers (RIAs) were fearful that they could see their "practice go from being worth a considerable amount to close to nothing," said Peter Mallouk, president, Creative Planning, during a roundtable event hosted by JConnelly Public Relations.

Volatility Will Hasten M&A In Financial Advisory Industry

Lawrence Carrel Contributor
ETFs & Mutual Funds
 Top 100 Journalist who covers finance and investing.



A panel of advisory industry leaders held a press roundtable last week to discuss the impact of the pandemic on the industry. (PHOTO BY TONY KONG)

The market's volatility over the last five months has caused financial advisors to re-evaluate the future of their firms. Advisors realized that their firm's value could fall to zero, and this will accelerate the pace of mergers and acquisitions in the industry, said a panel of advisory industry leaders last week.

RIA buyers see the consolidators consolidating

Executives from Carson Group, Creative Planning, Hightower, Sanctuary Wealth and Thrivent say M&A is here to stay

August 12, 2020 By Jeff Benjamin

The market's volatility over the last five months has caused financial advisors to re-evaluate the future of their firms. Advisors realized that their firm's value could fall to zero, and this will accelerate the pace of mergers and acquisitions in the industry, said a panel of advisory industry leaders last week.

NEWS FUND DATABASE MAGAZINE EVENTS ABOUT US

RIA execs sound the alarm on leverage



Jan Wenik 12 August 2020, 12:55

In a panel discussion on M&A, Ron Carson said the prospect of taking on debt 'scares' him — but that it will probably become necessary for Carson Group.

There aren't many things that scare Carson Group chief executive Ron Carson, whose firm manages roughly \$12bn in assets. But leverage in the RIA industry? That's a different story.